

Applicant: Tasuaki Hashizume et al.  
 Serial No.: 10/598,856  
 Filing Date: September 13, 2006  
 For: WAVEGUIDE TYPE VARIABLE OPTICAL ATTENUATOR

Confirmation No.: 1386  
 Att'y Docket No.: 14321.92  
 Art Unit: 2874

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Issue <u>Date</u>	Name
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Foreign Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Publication <u>Date</u>	Country or <u>Patent Office</u>	<u>Translation</u>
____ 1	2001-507817	06/12/2001	Japan	No
____ 2	2004-133389	04/30/2004	Japan	No
____ 3	WO 98/29769	07/09/1998	PCT	N/A

Other Documents

(including author, title, pertinent pages, etc.)

Examiner  
Initial\*

- \_\_\_\_ 4 Yasuyuki Inoue et al., *Polarization Sensitivity of a Silica Waveguide Thermooptic Phase Shifter for Planar Lightwave Circuits*, IEEE, Photonics Technology Letters, Vol. 4, No. 1, January 1992, pp. 36-38.
- \_\_\_\_ 5 Na Young Kim et al, *Limitation of PMD Compensation Due to Polarization-Dependent Loss in High-Speed Optical Transmission Links*, IEEE, Photonics Technology Letters, Vol. 14, No. 1, January 2002, pp. 104-105.
- \_\_\_\_ 6 G. Heise et al., *Simple Model for Polarization Sensitivity of Silica Waveguide Mach-Zehnder Interferometer*, IEEE, Photonic Technology Letters, Vol. 17, No. 10, October 2005, pp. 2116-2118.
- \_\_\_\_ 7 R. Narevich et al., *Novel Wide-Band Low-PDL Integrated Variable Optical Attenuator in Silica-on-Silicon*, Optical Fiber Communication Conference, Technical Digest OFC/NFOEC, Vol. 4, 2005.

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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